

COPY

Attorney Docket No.: 16807-001510U

DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **APPARATUS AND METHOD FOR TREATING TUMORS NEAR THE SURFACE OF AN ORGAN** the specification of which is attached hereto or **XX** was filed on **JULY 14, 1999** as Application No. **09/354,379** and was amended on (if applicable).

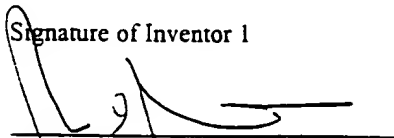
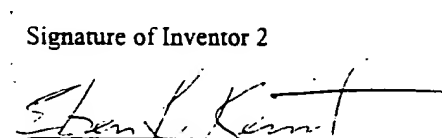
I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 35, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| Application No. | Date of Filing | Status |
|-----------------|----------------|---------|
| 09/124,152 | JULY 28, 1998 | PENDING |

| | | | |
|--------------------------|--|--|--|
| Full Name of Inventor 1: | Last Name: BEHL | First Name: ROBERT | Middle Name or Initial: S. |
| Residence & Citizenship: | City: Palo Alto | State/Foreign Country: California | Country of Citizenship: United States |
| Post Office Address: | Post Office Address: 361 Tioga Court | City: Palo Alto | State/Country: California Postal Code: 94036 |
| Full Name of Inventor 2: | Last Name: KERMIT | First Name: EBEN | Middle Name or Initial: L. |
| Residence & Citizenship: | City: Menlo Park | State/Foreign Country: California | Country of Citizenship: United States |
| Post Office Address: | Post Office Address: 2066 Oakley Avenue | City: Menlo Park | State/Country: California Postal Code: 94025 |

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

| | |
|---|--|
| Signature of Inventor 1 | Signature of Inventor 2 |
|  |  |
| ROBERT S. BEHL | EBEN L. KERMIT |
| Date 12/8/99 | Date 12/8/99 |

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Attorney Docket No. 16807-001510

POWER OF ATTORNEY BY ASSIGNEE

RADIOTHERAPEUTICS CORPORATION, 2660 Marine Way, Suite 200,
Mountain View, California 94043, is the Assignee of the invention entitled:

**APPARATUS AND METHOD FOR TREATING TUMORS NEAR
THE SURFACE OF AN ORGAN**

The specification of which is attached hereto or XX was filed on JULY 14, 1999 as
Application No. 09/354,379.

The Assignment accompanying this Power of Attorney has been reviewed by the undersigned. The undersigned certifies that to the best of the undersigned's knowledge and belief, title is in the Assignee. The undersigned (whose title is supplied below) is empowered to act on behalf of the Assignee.

Assignee hereby appoints the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

| <u>Name</u> | <u>Reg. No.</u> | <u>Name</u> | <u>Reg. No.</u> |
|--------------------|-----------------|---------------------|--|
| Aka, Gary T. | 29,038 | Heckadon, David R. | Granted Limited Recognition under 37 CFR §10.9(b) |
| Apple, Randolph T. | 36,429 | | |
| Barrish, Mark D. | 36,443 | Heslin, James M. | 29,541 |
| Colwell, Robert C. | 27,431 | Liebeschuetz, Joe | 37,505 |
| Dow, Karen B. | 29,684 | Powers, Margaret A. | 39,804 |
| Gibby, Darin J. | 38,464 | Slone, David N. | 28,572 |
| Hann, James F. | 29,719 | Smith, William M. | 30,223 |
| Haughey, Paul C. | 31,836 | Storella, John R. | 32,994 |
| | | Wong, Craig P. | P45,231 |

Send Correspondence to:
James M. Heslin, Esq.
TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, CA 94111-3834

Direct Telephone Calls to:

James M. Heslin, Esq.
Reg. No. 29,541
650/326-2400

RadioTherapeutics Corporation

Date: 12/8/99

By: 

Title: Chief Counsel

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Attorney Docket No.: 16807-001510U

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(e)) - SMALL BUSINESS CONCERN

Applicant or Patentee: ROBERT S. BEHL et al.
Application or Patent No.: 09/354,379
Filed or Issued: JULY 14, 1999
Title: APPARATUS AND METHOD FOR TREATING TUMORS NEAR THE SURFACE OF AN ORGAN

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below.

Name of Small Business Concern: RadioTherapeutics Corporation
Address of Small Business Concern: 2660 Marine Way, Suite 200
Mountain View, CA 94043

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d) for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliate, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled APPARATUS AND METHOD FOR TREATING TUMORS NEAR THE SURFACE OF AN ORGAN by inventor(s) described in:

- ☐ the specification filed herewith;
☒ Application No. 09/354,379, filed JULY 14, 1999;
☐ Patent No. _____, issued _____.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(e) if the person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name: ///
Address: _____
☒ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: ROBERT S BEHL
Title of Person if Other than Owner: CHAIRMAN
Address of Person Signing: 2660 Marine Way, Suite 200
Mountain View, CA 94043

Signature [Signature] Date 12/8/99

PA 3024628 v11

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, ROBERT S. BEHL, of 361 Tioga Court, Palo Alto, CA 94036, EBEN L. KERMIT, of 2066 Oakley Avenue, Menlo Park, CA 94025, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: APPARATUS AND METHOD FOR TREATING TUMORS
NEAR THE SURFACE OF AN ORGAN

Date(s) of execution of Declaration: December 8, 1999 (fill-in)

Filing Date: July 14, 1999

Application No.: 09/354,379 ; and

WHEREAS, RadioTherapeutics Corporation, a corporation of the state of California, located at 2660 Marine Way, Suite 200, Mountain View, California, 94043, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8th Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 12/8/99


ROBERT S. BEHL

Dated: 12/8/99


EBEN L. KERMIT

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116807-00151065
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
J. M. Heslin

MARCH 29, 2000

PTAS
TOWNSEND AND TOWNSEND AND CREW LLP
JAMES M. HESLIN
TWO EMBARCADERO CENTER, 8TH FLOOR
SAN FRANCISCO, CA 94111-3834



1C1243665A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE; ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/20/1999

REEL/FRAME: 010480/0231
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
BEHL, ROBERT S.

DOC DATE: 12/08/1999

ASSIGNOR:
KERMIT, EBEN L.

DOC DATE: 12/08/1999

ASSIGNEE:
RADIO THERAPEUTICS CORPORATION
2660 MARINE WAY, SUITE 200
MOUNTAIN VIEW, CALIFORNIA 94043

SERIAL NUMBER: 09354379
PATENT NUMBER:

FILING DATE: 07/14/1999
ISSUE DATE:

ANTIONE ROYALL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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00 APR -3 AM 10:12
J. M. HESLIN

01-13-2000

MND 12/20/99

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(Rev. 6-93)

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U.S. Department of Commerce
Patent and Trademark Office

101243665

T the Honorable Assistant Commissioner for Patents

nents or copy thereof

1. Name of conveying party(ies):

Robert S. Behl
Eben L. Kermit

Additional name(s) of conveying parties attached?

☐ Yes ☒ No.

2. Name and address of receiving party(ies)

Name: RadioTherapeutics Corporation

Street Address: 2660 Marine Way, Suite 200

City: Mountain View

State: California

ZIP: 94043

Additional names and addresses attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: December 8, 1999

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/354,379

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James M. Heslin
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(650) 326-2400

6. Total number of applications and patents involved One(1)

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed ☒ Charge Fees to Deposit Account

☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 20-1430

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9. Statement and signature.

To the best of my knowledge and belief the foregoing is true and correct and any attached copy is a true of copy of the original document.

James M. Heslin
Name of Person Signing

Signature

Date

Atty Reg. No. 29,541

Total number of pages including cover sheet, attachments and document Two (2)

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

OMB No. 0651-0011 (xp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover to:

Asst. Commissioner for Patents
Box: Assignments
Washington, D.C. 20231

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